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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/612,631	07/02/2003	Charles C. Hart	A-2202-AL	3645
21378 7590 02/27/2009 APPLIED MEDICAL RESOURCES CORPORATION 22872 Avenida Empresa			EXAMINER	
			YABUT, DIANE D	
Kaneno Santa N	Rancho Santa Margarita, CA 92688		ART UNIT	PAPER NUMBER
			3734	
			MAIL DATE	DELIVERY MODE
			02/27/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)			
	10/612,631	HART ET AL.			
Office Action Summary	Examiner	Art Unit			
	DIANE YABUT	3734			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 6(a). In no event, however, may a reply be timil apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on 11 De	ecember 2008				
	· · · · · · · · · · · · · · · · · · ·				
<i>;</i> —	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.				
Disposition of Claims					
4)⊠ Claim(s) <u>26-31,33-40 and 42-47</u> is/are pending in the application.					
4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.					
6)⊠ Claim(s) <u>26-31,33-40 and 42-47</u> is/are rejected.					
7) Claim(s) is/are objected to.	•				
•	election requirement				
8) Claim(s) are subject to restriction and/or election requirement.					
Application Papers					
9)☐ The specification is objected to by the Examiner.					
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.					
Applicant may not request that any objection to the o	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)  1) X Notice of References Cited (PTO-892)	4) 🔲 Intonious Summans	(PTO 413)			
1) X Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  Paper No(s)/Mail Date					
3) Information Disclosure Statement(s) (PTO/SB/08) 5) Notice of Informal Patent Application					
Paper No(s)/Mail Date 6) U Other:					

## **DETAILED ACTION**

This action is in response to applicant's amendment received on 12/11/2008.

The examiner acknowledges the amendments made to the claims.

## Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 26-31, 33-40, and 42-47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Daley, Jr., hereinafter "Daley," (U.S. Patent No. 5,112,255) in view of Toso et al., hereinafter "Toso" (U.S. Patent No. 5,282,832) and Chen (U.S. Patent No. 5,160,339).

Claims 26-28: Daley discloses a first interlocking member 38 having a base with a width, a first half and a second half, the width being equal or smaller than the length, a protrusion 44 extending from a periphery or first half of the base, a standing portion 42 extending widthwise from the base adjacent to the protrusion, the protrusion being substantially smaller than the standing portion, a mating window 46 disposed through the base or the second half of the base adjacent to the standing portion and extending widthwise on the second half of the base, and a mating hole 48 disposed through the base adjacent to the mating window, the mating hole being substantially smaller than the mating window, and a second interlocking member 40 operably connecting with the

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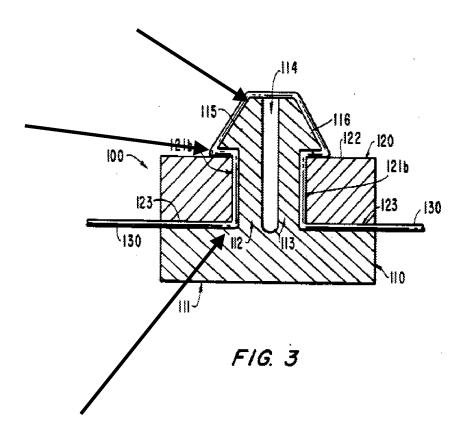
first interlocking member, the second interlocking member also having a protrusion, mating hole, standing portion, and mating window, with the protrusion operably connecting to the mating hole 48 of the first interlocking member, the standing portion operably connecting to the mating window 46 of the first interlocking member, and the mating window and mating hole operably connecting to the standing portion 42 and protrusion 44, respectively, of the first interlocking member (Figure 4). Daley discloses that the standing portion and the mating window are sized and configured to engage and confine suture ends, with the suture ends positioned over the standing portion and in the mating window, when the standing portion and the mating window are mated together.

Daley discloses the claimed device except for the standing portion of the first interlocking member including a suture path surface offset from the base and configured to receive a portion of the suture, wherein the suture path surface extends at least partially into the mating window on the second interlocking member, with the suture being retained in a convoluted pathway having radii configured to lightly compress the suture, as well as the standing portion having a free end with two substantially straight portions connected by a substantially curved portion defining the suture path surface.

Toso teaches a standing portion having two substantially straight portions 112 and 113 of a first interlocking member 110 including a suture path surface offset from the base connected by a substantially curved portion 114 and configured to receive a portion of a suture 130, wherein the suture path surface extends at least partially into a mating window 121 on a second interlocking member 120 with the suture being retained

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in a convoluted pathway having radii (see arrows pointing at "radii" in annotated Figure below) configured to lightly compress the suture (Figures 1-3). Although the pathway radii surfaces are not circular or curved except at curved portion **114** in Toso, Daley teaches that the standing portion or protrusion may be any suitable shape, which may be cylindrical with rounded edges (col. 7, lines 42-43). In addition, Chen et al. teach that having a convoluted pathway with radii in clamping surfaces advantageously increases the length of suture that is securely clamped (col. 3, lines 40-47).



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It would have been obvious to one of ordinary skill in the art at the time of invention to provide a suture path surface on the standing portion creating a convoluted pathway, as taught by Toso, to Daley since it was known in the art that a tortuous suture path may facilitate traction or create friction at multiple bends when the interlocking members are locked together, and therefore may provide higher retentive forces (col. 2, lines 30-40). It is noted that although Toso does not expressly disclose the curved portion **114** defining the suture path surface, it is capable of holding a suture and acting as a suture path surface, and therefore reads on the limitation of claim 27.

Claim 29: Daley discloses the standing portion **42** of the first interlocking member having a width and a length, the width begin equal to or greater than the length and the length of the standing portion of the first interlocking member being less than the length of the base of the first interlocking member (Figure 4).

Claims 30, 34-37, 39, and 43-46: Daley and Toso disclose the claimed device, except for the protrusions of one of the first interlocking member and the second interlocking member being cylindrical and being sized and configured to match opposing mating holes, and the standing portions of one of the first interlocking member and the second interlocking member further comprising locking or latching features, or the increased end diameters, and the mating windows of one of the first interlocking member and the second interlocking member further comprising receiving portions to mate with the locking features of the standing portions of one of the first interlocking member and the second interlocking member, and the barbs or increased end diameters or locking or

latching features of the standing portions being in a non-contacting relationship with a suture.

However, Daley discloses the use of cylindrical connectors **42** and locking or latching features or increased end diameters **44**, as well as receiving portions **48**, **50** for mating first and second interlocking members together. It would have been obvious to one of ordinary skill in the art at the time of invention to modify any of the protrusion, standing portion, mating hole, or mating window of Daley and Toso with these features since it was well known in the art that connectors may take various shapes and configurations provided that they will securely mate with an aperture of like configuration in a male/female connecting relationship.

Claims 31 and 40: Daley discloses the protrusions **44** of one of the first interlocking member and the second interlocking member further comprising barbs or having increased end diameters to engage opposing mating holes of one of the first interlocking member and the second interlocking member in a fixed relationship when fully mated (Figure 4).

<u>Claims 33 and 42</u>: Daley discloses the first and second interlocking members may be advanced, retracted, or adjusted along the length of a suture (Figure 4).

Claims 38 and 47: Daley and Toso disclose the claimed device including the standing portions of one of the first interlocking member and the second interlocking member being extendable through the mating window of one of the first interlocking member and the second interlocking member except for the standing portion being foldable onto an exterior surface of one of the first interlocking member and the second interlocking

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member away from the suture. This foldable feature is well known in the surgical art, as is with locking members or engaging members, such as clips or staples that are foldable onto the exterior surface of devices or tissues in order maintain secure engagement, and therefore it would have been obvious to one of ordinary skill in the art at the time of invention to modify the standing portions of Daley and Toso with a foldable feature to securely lock onto an exterior surface.

## Response to Arguments

3. Applicant's arguments with respect to claims 26-31, 33-40, 42-47 have been considered but are most in view of the new ground(s) of rejection.

## Conclusion

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

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the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DIANE YABUT whose telephone number is (571)272-6831. The examiner can normally be reached on M-F: 9AM-4PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Todd Manahan can be reached on (571) 272-4713. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Diane Yabut/ Examiner, Art Unit 3734

/Todd E Manahan/ Supervisory Patent Examiner, Art Unit 3734